

Is Structure Dependence Shaped for Efficient Communication?: A Case Study on Coordination

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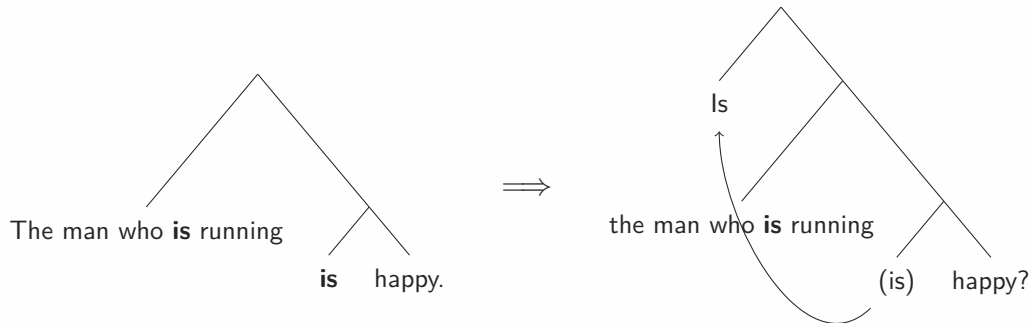
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 - ▶ (Several) Greenbergian Word Order Universals [HJF20]

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 - ▶ **Structure Dependence?** (← this work)

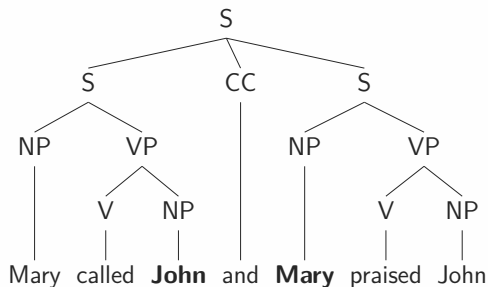
Structure Dependence

- ▶ Grammatical operations are applied **structurally** rather than linearly.
- ▶ In English yes-no questions,
 - good rule** moves the auxiliary of the *main clause* to the front (structural)
 - bad rule** moves the *leftmost* auxiliary to the front (linear)

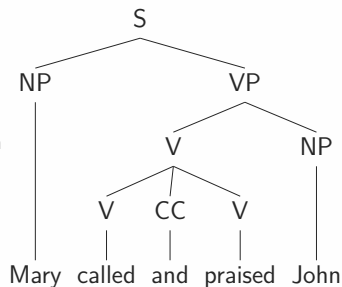


Structure Dependence

- ▶ **Coordinate structures** are constructed through a structure-dependent reduction operation, *conjunction reduction* [Cho57; Cho55; Ros67].
 - ▶ Which words are reduced is determined by their structural position.



Conjunction Reduction \Rightarrow



Experiment: Design of 3 types of languages

1. No-reduction lg:
 - ▶ Mary called John and Mary praised John.
2. Structure-reduction lg:
 - ▶ Mary called _ and _ praised John.
3. Linear-reduction lg:
 - ▶ Mary called John and _ praised _.

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 - ▶ Mary called John and _ praised _.
- ▶ Create the corpora of them using [WC21]'s toy PCFGs.

Estimating Communicative Efficiency

- ▶ Following [HJF20], we defined *simplicity* and *informativeness* as *predictability* and *parsability*, respectively.

$$\text{predictability} := -H(\mathcal{U}) = \sum_{u \in \mathcal{U}} p(u) \log p(u) \quad (1)$$

$$\text{parsability} := -H(\mathcal{T}|\mathcal{U}) = \sum_{t \in \mathcal{T}, u \in \mathcal{U}} p(t, u) \log p(t|u) \quad (2)$$

$$\text{communicative efficiency} := \lambda \text{predictability} + (1 - \lambda) \text{parsability} \quad (\lambda \in [0, 1]) \quad (3)$$

- ▶ Predictability is approximated with mean negative *word-by-word surprisal*.
 - ▶ represents the ease of processing on average under surprisal theory [Hal01; Lev08].
- ▶ Parsability is approximated with mean *word-by-word logLik of parse*.
 - ▶ captures how unambiguously the underlying syntactic structure can be reconstructed.

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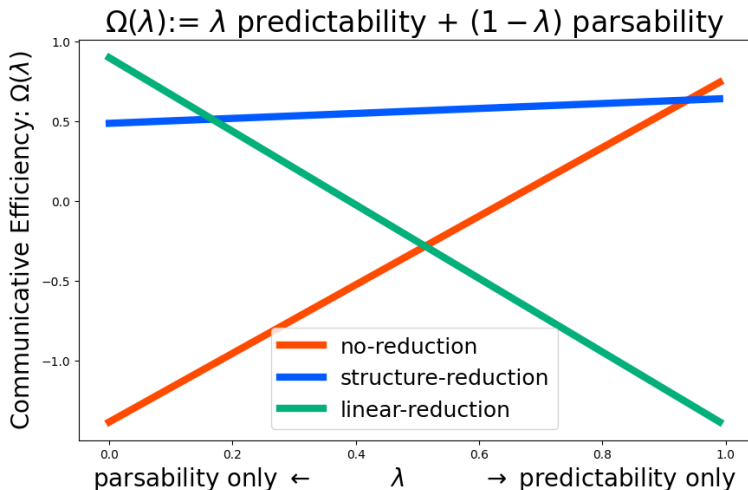
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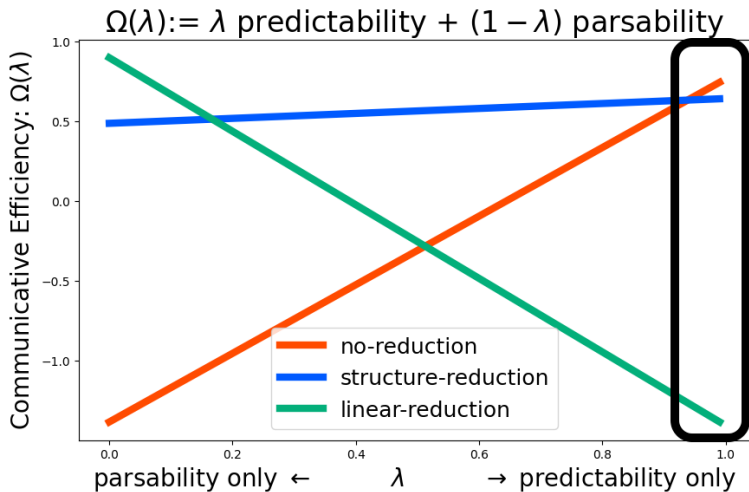
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- ▶ calculated them with Recurrent Neural Network Grammars (RNNGs; [Dye+16]).

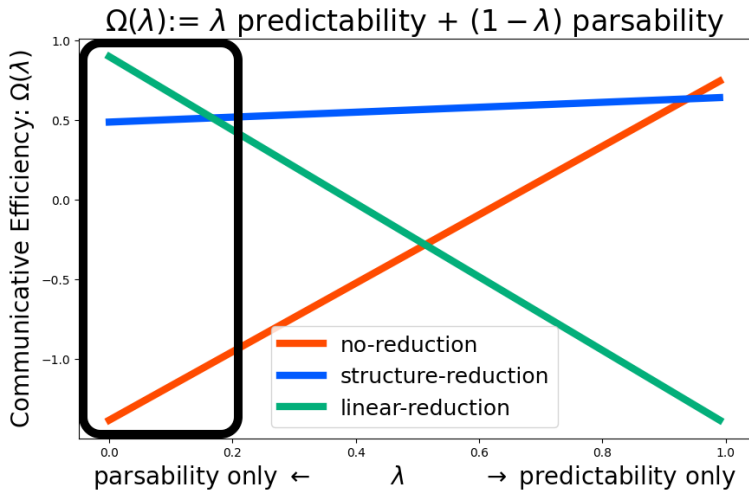
Results



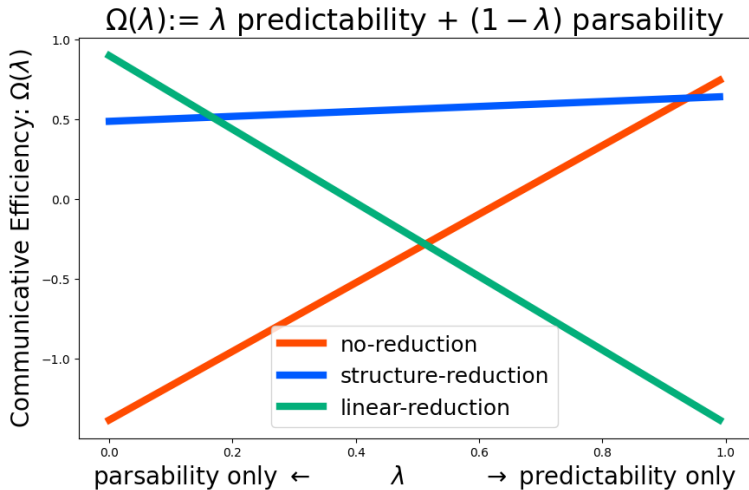
- ▶ The structure-reduction lgs are the most communicatively efficient under the parameter $\lambda \in [0.18, 0.93]$ for 95% CI.



- ▶ When considering only predictability (simplicity), the no-reduction lgs take the best score.
 - ▶ No-reduction lg is the simplest for local string patterns, which makes prediction easier.



- ▶ When considering only parsability (informativeness), the linear-reduction lgs take the best.
 - ▶ Linear-reduction lg has shorter overall expressions, resulting in fewer possible parses at each word position.



- ▶ Balancing the trade-off between the two, a structure-dependent reduction is the most preferred design for maximizing communicative efficiency.

Implications for Theoretical Linguistics

- ▶ A prominent view in the mainstream generative grammar:
 - ▶ natural language involves **domain-specific** predispositions and syntactic properties of language—including structure dependence—are best explained from the perspective of 'efficient *computation*' [HCF02; Cho05; Eve+15; BC16].
 - ▶ communication is considered an epiphenomenon [Cho02; HCF02].

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 - ▶ communication is considered an epiphenomenon [Cho02; HCF02].
- ▶ Our results suggest that at least some structure-dependent properties present in natural language (such as coordination) can be explained from the perspective of **domain-general** efficient *communication*.
 - ▶ This aligns with the existing body of efficient communication research [Gib+19; FPG24].

Conclusion

- ▶ We investigated whether **structure dependence** reflects the optimization for **efficient communication**.
 - ▶ focusing on coordinate structures.
- ▶ The experiment results suggest that the structure-dependent properties can be reduced to the functional perspective of efficient communication.

Thank you!

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Link to Paper

Acknowledgments

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